# Challenges in Evaluation of Automatic Text Simplification 

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Simplify Language - Capture Audience
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## Outline

- What is (Automatic) Text Simplification?
- Preliminaries:
- Automatic Evaluation of Sentence Simplification
- Human Evaluation of Sentence Simplicity
- Meta-Evaluation of Automatic Evaluation Metrics
- Preliminary Study on Evaluation of Cross-lingual Simplification



## What is Text Simplification?

## Modify the content and structure of a text so that it is easier to understand while preserving its original meaning

Grade 6


## Automatic Sentence Simplification

Slightly more fourth-graders nationwide are reading proficiently compared with a decade ago, but only a third of them are now reading well, according to a new report.
(Neural)
Simplification
Model

Sequence-to-Sequence Model

- Machine Translation
- Summarization
- Caption Generation

Fourth-graders are better readers than 10 years ago. But few of them read well.

How do you determine
the quality of an automatic simplification?
$\qquad$

# Automatic Evaluation of Sentence Simplification 

## Standard Automatic Evaluation Pipeline



## SARI (Xu et al., 2016)

$$
\begin{array}{r}
\mathrm{SARI}=d_{1} F_{a d d}+d_{2} F_{\text {keep }}+d_{3} P_{\text {del }} \\
d_{1}=d_{2}=d_{3}=1 / 3
\end{array}
$$

Input: About 95 species are currently accepted.

REF-1: About 95 species are currently known .
REF-2: About 95 species are now accepted .
REF-3: 95 species are now accepted .

Output-1: About 95 you now get in .
Output-2: About 95 species are now agreed .
Output-3: About 95 species are currently agreed. $\rightarrow 0.5890$
$\rightarrow 0.2683$
$\rightarrow 0.7594$

## SAMSA (Sulem et al., 2018)

Assumption: In an ideal simplification each event is placed in a different sentence.

## Original Sentence:

John arrived home and gave a call to Mary.


John arrived home

System Output:

John arrived home. John called Mary.

## Readability Indices

- Flesch Reading Ease (Flesch,1948)

$$
F R E=206.835-1.015\left(\frac{\text { total words }}{\text { total sentences }}\right)-84.6\left(\frac{\text { total syllables }}{\text { total words }}\right)
$$

- Flesch-Kincaid Grade Level (Kincaid et al., 1975)

$$
F K G L=0.39\left(\frac{\text { total words }}{\text { total sentences }}\right)+11.8\left(\frac{\text { total syllables }}{\text { total words }}\right)-15.59
$$

## Metrics used in Machine Translation

- BLEU (Papineni et al., 2002)

$$
p_{n}=\frac{\sum_{S \in C} \sum_{\text {ngram } \in S} \text { Count }_{\text {matched }}(\text { ngram })}{\left.\sum_{S \in C} \sum_{\text {ngram } \in S} \text { Count (ngram }\right)} \quad B P=\left\{\begin{array}{ll}
1 & \text { if } c>r \\
e^{1-\frac{r}{c}} & \text { if } c \leq r
\end{array} \quad B L E U=B P \times \exp \left(\sum_{n=1}^{N} w_{n} \log p_{n}\right)\right.
$$

- BERTScore (Zhang et al., 2020)



## Human Evaluation of Sentence Simplicity

## Simplicity Gain



## Structural Simplicity

TurkCorpus


Likert Scale: -2 to +2

Is the output simpler than the input, ignoring the complexity of the words?

3 ratings per sentence pair

## Simplicity-DA




Direct Assessment
$\qquad$
50


The Simplified sentence is easier to understand than the Original sentence

15 ratings per sentence pair

## The (Un)Suitability of Automatic Evaluation Metrics for Text Simplification

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## Computational Linguistics

https://github.com/feralvam/metaeval-simplification

## High Correlation = "Good" Metric?



## Experimental Setting

- Study the behaviour of automatic metrics at the sentence-level
- Focused on metrics that measure (some form of) simplicity
- Analyse the variation of correlation w.r.t.
a. Simplicity levels
b. System type
c. Set of manual references
- Metrics
a. SARI, SAMSA, FKGL, BLEU, BERTScore
b. Averages of BLEU, SARI, SAMSA


## Metrics across Simplicity Levels

Low scores indicate "bad" quality of a simplification, but high scores do not necessarily imply "good" quality


## BERTScore reliance on references

| Original | Below are some useful links to facilitate your involvement. | Simplicity-DA |
| :---: | :--- | :---: |
| HYP | Below is some useful links to help with your involvement. | 0.327 |
| REF1 | Here are good links to help you to do it. |  |
| REF2 | Below are some useful links to help with your involvement. | 0.5817 |
| REF3 | Here are some useful links to help you. | 0.9344 |

[^0]
## Metrics across Simplicity Levels

Differences are not as considerable as observed for Simplicity-DA

Simplicity Gain

| Gain | Metric | Low $(N=186)$ | $\begin{gathered} \text { High } \\ (\mathrm{N}=186) \end{gathered}$ | $\begin{gathered} \text { AlI } \\ (N=372) \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: |
| Reference-based (using TurkCorpus) | BERTScore $_{\text {P }}$ | 0.209 | 0.231 | 0.241 |
|  | BERTScore $_{\text {F1 }}$ | 0.215 | 0.236 | 0.247 |
|  | BLEU-SARI (AM) | 0.223 | 0.172 | 0.187 |
|  | BERTScore $_{\text {R }}$ | 0.221 | 0.217 | 0.241 |
|  | BLEU | 0.178 | 0.132 | 0.123 |
|  | BLEU-SARI (GM) | 0.246 | 0.177 | 0.214 |
|  | SARI | 0.292 | 0.240 | 0.331 |
| Non-Reference-based | FKGL | 0.045 | 0.101 | 0.147 |
|  | SAMSA | $0.120$ | $0.042$ | 0.013 |

## SARI does not count correct replacements

| Original | Jeddah is the principal gateway to Mecca, Islam's <br> holiest city, which able-bodied Muslims are required to <br> visit at least once in their lifetime. | Simplicity Gain | SARI |  |
| :---: | :--- | :--- | :--- | :--- |
| HYP | Jeddah is the main gateway to Mecca, Islam's holiest <br> city, which sound Muslims must visit at least once in life. | 1.83 | 0.462 |  |
| Original | The Great Dark Spot is thought to represent a hole in the <br> methane cloud deck of Neptune. | Simplicity Gain | SARI |  |
| HYP | The Great Dark Spot is thought to be a hole in the <br> methane cloud deck of Neptune. |  | 1.25 | 0.587 |

## Metrics across Simplicity Levels



## Problems with SAMSA?

|  |  |  |  |
| :---: | :---: | :---: | :---: |
| Original | Orton and his wife welcomed Alanna Marie Orton on July 122008. | Structural <br> Simplicity | SAMSA |
| HYP | Orton and his wife welcomed Alanna Marie Orton on July 122008. | 0.0 | 1.0 |

## Metrics across System Types

Encouraging results considering the current trend in simplification models

| Simplicity-DA | Metric | $\begin{aligned} & \text { SBMT } \\ & (\mathrm{N}=100) \end{aligned}$ | $\begin{aligned} & \text { PBMT } \\ & (\mathrm{N}=100) \end{aligned}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | $\begin{gathered} \text { NMT } \\ (\mathbf{N}=300) \end{gathered}$ | $\begin{aligned} & \text { Sem+PBMT } \\ & (N=100) \end{aligned}$ |
| Reference-based (using ASSET) | BERTScore ${ }_{\text {P }}$ | 0.537 | 0.459 | 0.650 | 0.624 |
|  | BERTScore $_{\text {F1 }}$ | 0.528 | 0.400 | 0.588 | 0.568 |
|  | BLEU-SARI (AM) | 0.315 | 0.336 | 0.536 | 0.335 |
|  | BERTScore ${ }_{\text {R }}$ | 0.527 | 0.375 | 0.484 | 0.470 |
|  | BLEU | 0.295 | 0.347 | 0.546 | 0.333 |
|  | BLEU-SARI (GM) | 0.298 | 0.320 | 0.508 | 0.308 |
|  | SARI | 0.228 | 0.173 | 0.310 | 0.240 |
| Non-Reference-based | FKGL | 0.055 | 0.063 | 0.104 | 0.062 |
|  | SAMSA | 0.184 | 0.067 | 0.126 | 0.248 |

## Effect of Simplification References

## Simplicity-DA

|  | ASSET <br> (10 references) |  |  | ASSET + TurkCorpus + HSplit (22 references) |  |  | Selected References <br> (Different refs. per instance according to the operations performed) |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Metric | Low | High | All | Low | High | All | Low | High | All |
| $\mathrm{BERTS}^{\text {core }}$ P | 0.512 | 0.287 | 0.617 | 0.541 | 0.280 | 0.629 | 0.543 | 0.276 | 0.635 |
| BERTScore $_{\text {F1 }}$ | 0.518 | 0.224 | 0.573 | 0.530 | 0.202 | 0.576 | 0.534 | 0.202 | 0.584 |
| BLEU-SARI (AM) | 0.417 | 0.239 | 0.503 | 0.418 | 0.218 | 0.519 | 0.418 | 0.221 | 0.523 |
| BERTScore $_{\text {R }}$ | 0.471 | 0.172 | 0.500 | 0.476 | 0.165 | 0.506 | 0.479 | 0.165 | 0.511 |
| BLEU | 0.405 | 0.235 | 0.496 | 0.404 | 0.230 | 0.526 | 0.402 | 0.223 | 0.525 |
| BLEU-SARI (GM) | 0.408 | 0.215 | 0.476 | 0.410 | 0.195 | 0.490 | 0.410 | 0.205 | 0.496 |
| SARI | 0.336 | 0.139 | 0.359 | 0.366 | 0.097 | 0.353 | 0.352 | 0.115 | 0.350 |

## Takeaways

- Metrics are more reliable when scoring "low quality" simplifications
- Especially in terms of Simplicity-DA
- Correlations change based on system type
- Metrics seem to work well with Neural models (current trend)
- Using all available references does not necessarily lead to higher correlations
- It seems better to select a subset of appropriate references for each automatic output (e.g. based on the operations performed)


## Evaluation of Cross-lingual Simplification (Preliminary Results)

European
associ A tion
for Machine
TRANSLATION

## Project: Readability-Controlled NMT



## Experimental Setting

- Models
- MT: Model for Biomedical Machine Translation
- TS: MUSS (fine-tunes BART in simplification data)
- Pipeline: TS+MT
- Evaluation Data:
- Tico-19 Dataset
- English $\rightarrow 38$ languages

| Data Source | Domain | Num. Sentences |
| :--- | :--- | ---: |
| CMU | medical, conversational | 141 |
| PubMed | medical, scientific | 939 |
| Wikinews | news | 88 |
| Wikivoyage | travel | 243 |
| Wikipedia | general | 1,538 |
| Wikisource | announcements | 122 |
|  |  | Total |

## Analysing Simplicity based on Preference

- Spanish native speakers with knowledge of English
- Random 100 sentences (inc. all domains)

| Original | Translation 1 | Translation 2 | Preference |
| :--- | :--- | :--- | :--- |
| Through this surveillance, we <br> intend to find out more about <br> the epidemiology of COVID-19 <br> in ambulatory care. | A través de esta vigilancia <br> pretendemos conocer más <br> sobre la epidemiología del <br> COVID-19 en atención <br> ambulatoria | A través de este <br> estudio, queremos <br> aprender más sobre <br> COVID-19 en atención <br> ambulatoria |  |


| Preference | Frequency |
| :--- | ---: |
| MT | $\mathbf{4 0}$ |
| TS + MT | $\mathbf{1 1 0}$ |
| No preference | 50 |



## Only "fair" agreement :(

Cohen's $\mathrm{K}=0.2$

## Measuring the Degree of Simplicity

| Original English | Original Spanish | Simplified Spanish | Rank |
| :--- | :--- | :--- | :--- |
| $\begin{array}{l}\text { It doesn't cover all the } \\ \text { restrictions, but it's still } \\ \text { useful. }\end{array}$ | $\begin{array}{l}\text { No cubre todas las } \\ \text { restricciones, pero } \\ \text { sigue siendo útil. }\end{array}$ | $\begin{array}{l}\text { No lo cubre todo, pero } \\ \text { sigue siendo útil. }\end{array}$ |  |$\}$

0: The Simplified Spanish is equally or less simple, or does not make sense.
1: The Simplified Spanish is slightly simpler, but there's still a lot of room for simplification
2: The Simplified Spanish is significantly simpler. 3: The Simplified Spanish is as simple as it could possible be.

- For TS+MT: $1.64+/-0.85 \rightarrow$ some degree of simplification?

Only "fair" agreement :(

Cohen's $\mathrm{K}=0.25$

## Takeaways

- Simple Simplify $\rightarrow$ Translate automatic pipelines do not lead to simpler output
- Motivation for Joint approach
- Evaluation of automatic outputs in specialised domains is more challenging than general domain even if target users are involved.
- Need to adapt guidelines and train annotators to get higher agreement



## Thanks!



## Datasets with Human Judgements on Simplicity

|  | Simplicity Gain (Xu et al., 2016) | Structural Simplicity <br> (Sulem et al, 2018) | Simplicity-DA |
| :---: | :---: | :---: | :---: |
| Type of Rating | Discrete (count) | Discrete (Likert scale) | Continuous |
| Instances | 372 | 1,750 | 600 |
| System Types | $\begin{aligned} & \text { PBMT } \\ & \text { SBMT } \end{aligned}$ | $\begin{gathered} \text { PBMT } \\ \text { SBMT } \\ \text { NMT } \\ \text { Sem } \\ \text { Sem+PBMT } \\ \text { Sem+NMT } \end{gathered}$ | PBMT <br> SBMT <br> NMT <br> Sem+PBMT |
| ICC | 0.176 | 0.465 | 0.386 |
| Spearman's p | 0.299 | 0.508 | 0.607 |


[^0]:    References can have different degrees of simplicity

